

Enterprise Solutions

The Enterprise Solutions division of R.J. O'Brien ("RJO") provides a suite of customized futures and back office solutions for financial institutions of all sizes – including FCMs, trade desks, broker dealers and more.

Clients

- FCMs
- Trade Desks
- Independent Broker Groups
- Broker Dealers
- Wealth Management

Available Services

- Execution
- Clearing
- Reconciliation
- Customizable Technology
- Operations
- Client Support
- Risk Management
- Regulatory Reporting

Contact Us

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For many years, leading names in the financial services industry have turned to R.J. O'Brien to streamline operational processes, access powerful tools unique to RJO's technology offering and reduce overhead costs.

By leveraging the firm's state of the art infrastructure, R.J. O'Brien is able to provide a tailored solution for each client's specific business needs.

Execution and Clearing

R.J. O'Brien's proprietary systems can accommodate a variety of order placement interfaces including any of our proprietary options, those of notable independent software vendors and unique front end systems. Enjoy direct market access to R.J. O'Brien's member exchanges or utilize traditional order routing methods to nearly every futures exchange worldwide. Depending on your preference, trades will

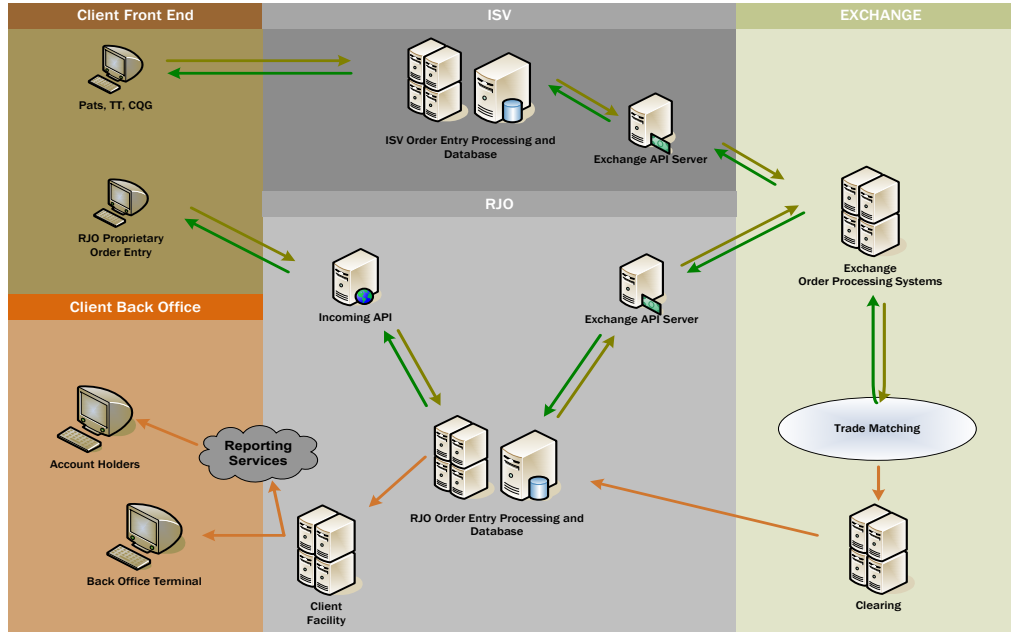
enter the market under R.J. O'Brien's or your clearing firm's ID. Following the processing of a trade, the results are communicated directly back to the user via trade screens and drop copies.

Reporting

For monitoring market and trade activity at both a firm and individual level, our customized reporting solution will give you a clear picture of your risk landscape.

With easy-to-use file formats (CSV, fixed width) and delivery through a range of methods (FTP Put, FTP Push, Message Queue, Email, RJO UI tools), we are able to provide reports as frequently as every five minutes.

DELIVERING A COMPREHENSIVE SOLUTION FOR BACK OFFICE OPERATIONS



Delivery occurs via a variety of encryption methods and secure FTP sessions.

- CFTC reporting
- Access to customer account information online

Facilities Management

Our facilities management option combines the technology of an enterprise solutions setup with an *omnibus* account for your disclosed client business. R.J. O'Brien will hold an omnibus account in your firm's name, but will provide the accounting and back office functionality for all of your individual accounts.

In addition to executing and clearing all of the trades through our omnibus account, we provide the following services as part of facilities management:

- Balancing/Checkout
- Individual customer statements
- Equity runs and risk reporting
- 1099 statement generation
- Margin call and statement generation

Reconciliation

Trade by trade reconciliation is available through our customized reporting technology, which triangulates information from R.J. O'Brien, the Clearinghouse and your firm. Reports can be accessed on demand or delivered automatically via secured connections.

Support

You will be partnered with an experienced Relationship Manager to help with everything from technology integration to continuing operations. This, coupled with our 24-hour technology support desk, provides a complete support solution no matter the time or request.

Futures trading involves the substantial risk of loss and is not suitable for all investors.